

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Furuya et al.  
SERIAL NO.: not yet assigned  
FILING DATE: September 22, 2003  
TITLE: MAGNETO-OPTICAL RECORDING MEDIUM,  
MANUFACTURING METHOD THEREOF AND MAGNETO-  
OPTICAL DATA RECORDING AND PLAYBACK DEVICE  
EXAMINER: Kevin Bernatz (parent case)  
ART UNIT: 1773 (parent case)

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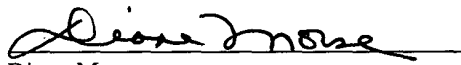
**CERTIFICATE OF MAILING**

"Express Mail" mailing label no.: EV310852111US

Date of Deposit: September 22, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to: Box Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Name:

  
Diane Morse

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

( ) 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

## II

- (X) No fee is due.
- ( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.


## III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- ( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: September 19, 2003

  
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Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. 034620-000105		Serial No. [not yet assigned]		
<b>Information Disclosure Statement by Applicant</b>				Applicant: Furuya et al.				
(Use several sheets if necessary)				Filed:		Group: Unknown		
<b>U.S. Patent Documents</b>								
Init.		Document No.	Date	Name	Class	Subclass	Filing Date	
	A	4,608,142	08/26/86	Gomi et al.	204	192	11/15/84	
	B	4,624,901	11/25/86	Glass	428	469	04/04/85	
	C	4,690,861	09/01/87	Nakamura et al.	428	623	02/24/86	
	D	4,883,710	11/28/89	Machida	428	336	10/28/87	
	E	5,169,504	12/08/92	Bechevet et al.	204	192.26	11/19/90	
	F	5,199,022	03/30/93	Suzuki et al.	369	275.1	03/27/92	
	G	5,386,986	11/29/94	Terao et al.	430	270	05/06/92	
	H	5,501,913	03/26/96	Shimokawa et al.	428	694	11/13/91	
	I	5,589,261	12/31/96	Tamari	428	332	10/24/94	
	J	5,607,781	03/04/97	Okuno et al.	428	694	05/26/94	
	K	5,665,465	09/09/97	Gyorgy et al.	428	328	11/06/95	
	L	5,702,793	12/30/97	Shimokawa et al.	428	64.3	02/24/94	
	M	5,731,049	03/24/98	Tailhades et al.	427	597	12/19/94	
<b>Foreign Documents</b>								
							Translation	
Init.		Document No.	Date	Country	Class	Subclass	Yes	No
	N	0 196 332	10/8/86	Europe	G11B 11	10	X	
	O	60-107815	6/13/85	Japan	H01F 41	18		X
	P	60-150614	8/8/85	Japan	H01F 41	22		X
	Q	60-193147	10/1/85	Japan	G11B 11	10		X
	R	60-200887	10/11/85	Japan	C30B 1	02		X
	S	5-250745	9/28/93	Japan	G11B 11	10		X
	T	5-303776	11/16/93	Japan	G11B 11	10		X
	U	6-89480	3/29/94	Japan	G11B 11	10		X
	V	9-81978	3/28/97	Japan	G11B 11	10		X
	W	WO 85/02292	5/23/85	PCT	G11B 11	10	X	
	X	WO 00/13178	4/1/99	PCT	G11B 11	10	X	
<b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
Examiner					Date Considered			
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.								